

<b>General information about company</b>	
Scrip code	530217
NSE Symbol	
MSEI Symbol	
ISIN	INE295B01016
Name of the company	SWOJAS ENERGY FOODS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	3	24457025			24457025	78.99	24457025		24457025	78.99
(B)	Public	4663	6505625			6505625	21.01	6505625		6505625	21.01
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4666	30962650			30962650	100	30962650		30962650	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				78.99					19049747
(B)	Public				21.01					3510825
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					22560572

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	24457025			24457025	78.99	24457025		24457025	78.99
Sub-Total (A)(1)		3	24457025			24457025	78.99	24457025		24457025	78.99
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	24457025			24457025	78.99	24457025		24457025	78.99
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	14600			14600	0.05	14600		14600	0.05
(f)	Financial Institutions/ Banks	2	197300			197300	0.64	197300		197300	0.64
Sub-Total (B)(1)		3	211900			211900	0.68	211900		211900	0.68
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4435	2450719			2450719	7.92	2450719		2450719	7.92
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	20	2038200			2038200	6.58	2038200		2038200	6.58
(b)	NBFCs registered with RBI	1	500			500	0	500		500	0
(e)	Any Other (specify)	204	1804306			1804306	5.83	1804306		1804306	5.83
Sub-Total (B)(3)		4660	6293725			6293725	20.33	6293725		6293725	20.33
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		4663	6505625			6505625	21.01	6505625		6505625	21.01
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		4666	30962650			30962650	100	30962650		30962650	100
Total (A+B+C)		4666	30962650			30962650	100	30962650		30962650	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				78.99					19049747
Sub-Total (A) (1)				78.99					19049747
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				78.99					19049747
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.05					0
(f)				0.64					0
Sub-Total (B) (1)				0.68					0
( 3 )	Non-institutions								
(a(i))				7.92					1056819
(a(ii))				6.58					1533200
(b)				0					500
(e)				5.83					920306
Sub-Total (B) (3)				20.33					3510825
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				21.01					3510825
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					22560572
Total (A+B+C )				100					22560572
Disclosure of notes in case of public share holding is less than 25 percentage								Textual Information(1)	

**Text Block**

Textual Information()

The Company was in Voluntary Winding up process for many years. The New Promoters had taken over the Company when the Company was in Voluntary Winding up Process. The New Promoters are in Process of Revocating the Suspension of the company and Once the same is completed the Promoters shall facilitate the increase of Public shareholding to more than 25%.

<b>Individuals/Hindu undivided Family</b>				
Serial No.	1	2	3	
Name of the Shareholders (I)	VISHAL PANKAJ DEDHIA	KETAN ISHWARLAL KATARIA	AMAR RAJMAL KAKARIA	<a href="#">Click here to go back</a>
PAN (II)	AAAPD9134E	ADOPK3265C	AHFPK1759E	Total
No. of fully paid up equity shares held (IV)	12228512	6114257	6114256	24457025
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12228512	6114257	6114256	24457025
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	39.49	19.75	19.75	78.99
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg:X	12228512	6114257	6114256	24457025
Class eg:y				
Total	12228512	6114257	6114256	24457025
Total as a % of Total Voting rights	39.49	19.75	19.75	78.99
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	39.49	19.75	19.75	78.99
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	12228512	706979	6114256	19049747



Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter	Promoter	Promoter	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Searial No.	1	2	
Name of the Shareholders (I)	SANJEEV MADHAV KOKANE	Indra Kumar Bagri	<a href="#">Click here to go back</a>
PAN (II)	ABFPK3252L	ADVPB8503F	Total
No. of fully paid up equity shares held (IV)	343750	317600	661350
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	343750	317600	661350
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	1.03	2.14
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	343750	317600	661350
Class eg:y			
Total	343750	317600	661350
Total as a % of Total Voting rights	1.11	1.03	2.14
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.11	1.03	2.14
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	343750	317600	661350
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	LLP	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	Non-Resident Indian (NRI)	Overseas Corporate Bodies
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)			3A CAPITAL SERVICES LIMITED	SWOJAS LEASING & FINANCE (P) LTD			
PAN (II)			AAACZ2547A	ZZZZZ9999Z			
No. of the Shareholders (I)	1	62	1	1	3	86	5
No. of fully paid up equity shares held (IV)	5	1388200	570500	323500	3900	319100	46100
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5	1388200	570500	323500	3900	319100	46100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	4.48	1.84	1.04	0.01	1.03	0.15
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	5	1388200	570500	323500	3900	319100	46100
Class eg:y							
Total	5	1388200	570500	323500	3900	319100	46100
Total as a % of Total Voting rights	0	4.48	1.84	1.04	0.01	1.03	0.15
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	4.48	1.84	1.04	0.01	1.03	0.15
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity	5	717100	570500	0	3900	153300	0

shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN				Textual Information(1)			

**Any Other (specify)**

Serial No.	8	9	
Category	Trusts	HUF	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			<a href="#">Click here to go back</a>
PAN (II)			Total
No. of the Shareholders (I)	1	46	204
No. of fully paid up equity shares held (IV)	1000	46001	1804306
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1000	46001	1804306
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.15	5.82
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	1000	46001	1804306
Class eg:y			
Total	1000	46001	1804306
Total as a % of Total Voting rights	0	0.15	5.82
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.15	5.82
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	0	46001	920306

Reason for not providing PAN			
Reason for not providing PAN			

<b>Text Block</b>	
Textual Information(1)	Not Available

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence